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A novel PLC channel modeling method and channel characteristic analysis of a smart distribution grid

Jian Le^{1*}, Cao Wang¹, Wu Zhou¹, Yong-yan Liu¹ and Wei Cai²

Abstract

Power line carrier (PLC) technology plays an increasingly important role in the realization of cost-effective communication in a smart distribution grid. No current channel modeling method is universally applicable to more complex topologies that may emerge in smart grids, such as ring and mesh topologies. This paper presents a novel PLC channel modeling method based on the information node concept, and the universality and feasibility of the proposed method are demonstrated with applications in modeling networks with ring and mesh topologies. The factors that affect the channel characteristics of the networks and the laws that govern their behaviors for different types of topologies are analyzed. The validity and effectiveness of the proposed method are proven using simulation and laboratory tests. This paper provides the necessary theoretical basis and technical means to design the PLC modulation method for smart distribution grids.

Keywords: Smart distribution grid, Transfer matrix, Power-line communication, Information nodes, Channel characteristics

1 Introduction

Reliable and cost-effective communication networks are the basis and premise for the realization of Smart Grids. The power line carrier (PLC) technology [1–3], which has been applied in traditional power systems to transfer voice or data at high speed over the power line with a power flow, is considered a suitable candidate [4, 5]. However, the channel of the PLC has high-frequency selectivity with complex signal attenuation because of the poor working condition, serious interference, and large time variation. As a result, numerous studies have considered the power-line channel modeling method to accurately establish an effective PLC transmission model and select the best transmission frequency according to the frequency selectivity transmission characteristic [6–8].

The existing channel modeling methods can be divided into time-domain [9–12] and frequency-domain types [13–18]. Time-domain methods, which can be further divided into top-down [9, 10] and bottom-up [11, 12] types according to the approach used to obtain the necessary parameters, treat the PLC channel as a multi-path

environment and use the reflection model to represent the

physical characteristics of the channel. The top-down

method regards the PLC channel as a multi-path model

and uses curve fitting techniques to obtain the required pa-

rameters based on the actual measurement results of the

transmission characteristics. Various curve fitting tech-

niques are used, and the complexity and time variation of

the PLC channels cause large differences between measurement results. Hence, the models obtained by different

researchers are notably different, and none can guarantee

the best performance under all operation conditions of the

network. The main principle of the bottom-up methods is to consider the reflection and attenuation at the impedance

mismatch points and subsequently enumerate, simplify,

and classify all paths between the signal transmitter and

the information receiver based on the actual network

topology. This type of method simplifies the forward trav-

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eling wave to such an extent that it results in large errors. The method is also computationally expensive and can only be applied to the tree topology.

To calculate the transmission characteristics of the entire network, frequency-domain methods break the entire network into several cascaded sub-networks and calculate the transmission matrix [13–15] or scattering matrix [16–18] for each sub-network. This type of method has the

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advantage of considering the reflective properties of all signals regardless of the network complexity. However, it cannot be easily applied in the ring and mesh topologies, which may emerge in smart distribution grids, because these topologies cannot be broken into sub-networks. H. Meng [18] derived a channel model method for a ring topology using scattering parameters; however, this method has difficulty considering multiple rings and mesh topologies.

A new modeling method to analyze the transfer characteristics of the PLC channel of a network with arbitrary topology is proposed in this paper, and its application in the network with a ring or mesh topology is demonstrated in detail [19]. The remainder of this paper is structured as follows. In Section II, the principle of the channel modeling method based on the information node concept is presented. Section III shows the application of the proposed method in a network with ring topology; the validity and effectiveness of this method is proven by simulation and laboratory test. The influence laws that govern the channel characteristics of a network with complex mesh topology are analyzed by simulation and laboratory test results in Section V. Finally, in Section VI, the main findings of this paper are summarized.

2 Channel modeling approach based on information node (Methods)

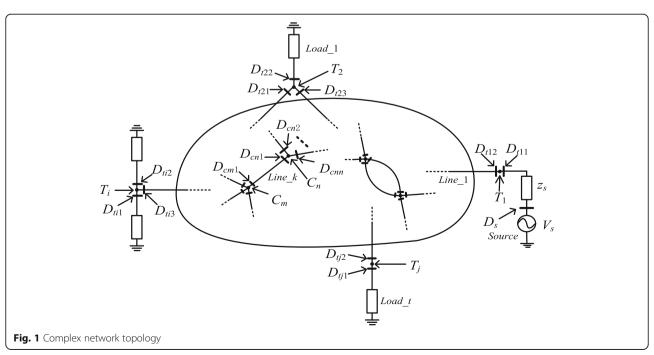
2.1 Network topology and information nodes

Figure 1 illustrates the topology of a general complex PLC communication network, which is typically composed of signal transmitters, signal receivers, power lines, and network nodes. The purpose of power line channel modeling is to determine the transmission characteristic between a

signal transmitter and one of multiple receivers at a given time. The network nodes are divided into internal-type nodes and termination-type nodes. The internal nodes only connect to power lines, such as C_m and C_n in Fig. 1, and the termination nodes connect to at least one of the loads or the signal source, such as T_1 , T_2 , T_i , and T_j in Fig. 1. V_S and Z_S are the amplitude and impedance of the signal source, respectively.

In this paper, a new concept called the "information node", which can cover important information regarding the node voltage and current, is introduced to help describe the state of the network. Several information nodes are placed at the points as closely as possible around a network node. For example, D_{t11} and D_{t12} are the information nodes related to the signal source impedance Z_s and power line $Line_1$, respectively, which connect to termination node T_1 , and D_{cn1} is the information node of power line Line_k, which connects to internal node C_n . Thus, at least two relevant information nodes should be assigned to a network node except for the signal source, where the voltages of the signal source and at information node D_{t11} are different because of the internal impedance Z_S . Therefore, an additional information node D_s should be introduced between the signal source and D_{t11} , as shown in Fig. 1.

By placing all necessary information nodes into the network, the problem of determining the transmission characteristic between signal source V_S and each receiver is converted into a problem of determining the transmission characteristic between information node D_S and the information node related to each receiver (e.g., D_{t22} if the receiver is located at node T_2).



2.2 Network equations based on information nodes

Without loss of generality, we assume that there are m power lines and n loads in the power network in Fig. 1. According to the principle of setting the information nodes, 2m and n information nodes are respectively linked with m power lines and n information nodes because a power line must be connected between two information nodes, whereas a load is always related to only one information node. Because the special information nodes D_S and D_{t11} are related to the signal source, the total number S of information nodes in the network is:

$$S = 2m + n + 2 \tag{1}$$

Obviously, the voltage of and the current flowing out of an information node are two unknown quantities. Thus, there are 4m + 2n + 4 unknown quantities for the entire network. In this paper, the reference direction of the node current is out of the node.

Figure 2 shows the power line $Line_k$ and its two information nodes D_{cm1} and D_{cn1} .

According to transmission theory, the V-I relationships between information nodes D_{cm1} and D_{cn1} are:

$$U_{cn1} = U_{cm1} \cdot ch(\gamma x) - I_{cm1} \cdot Z_C \cdot sh(\gamma x)$$

$$-I_{cn1} = I_{cm1} \cdot ch(\gamma x) - \frac{U_{cm1}}{Z_C} sh(\gamma x)$$

$$(2)$$

where x is the length of the power line $Line_k$, and y and Z_C are the propagation constant and characteristic impedance of this power line, respectively. We can list two equations similar to Eq. (2) for each power line; therefore, 2m equations can be obtained for m power lines.

For $Load_1$ in Fig. 1, its voltage U_{t22} and current I_{t22} must satisfy Ohm's Law, i.e.,

$$U_{t22} = Z_{L1} \times I_{t22} \tag{3}$$

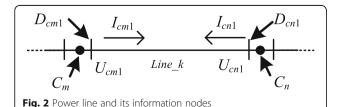
where Z_{L1} is the load impedance of *Load*_1. Thus, n equations can be obtained for n loads.

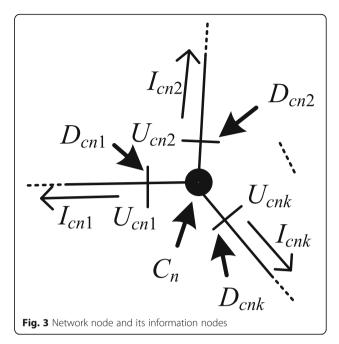
Suppose that there are k information nodes that are related to a network node C_m as shown in Fig. 3.

According to the basic circuit theory, we can obtain k independent equations for this network node as:

$$U_{cn1} = U_{cn2} = \dots = U_{cnk}$$

$$I_{cn1} + I_{cn2} + \dots + I_{cnk} = 0$$
(4)





For a network with m power lines, n loads, and one signal source, there must be 2m+n+1 information nodes, and each node is related to one and only one of the network nodes (Ds is excluded). Thus, 2m+n+1 independent equations can be listed for all network nodes.

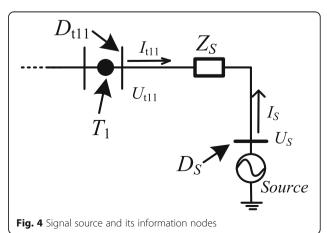
As shown in Fig. 4, for information nodes D_{t11} and D_S , which are related to signal source V_S , the following two equations are deduced:

$$U_S = U_{t11} - I_{t11} \times Z_S$$

$$I_S = -I_{t11}$$
(5)

where Z_S is the internal impedance of signal source V_S .

Therefore, for a power network of m power lines and n loads, 2m+n+2m+n+1+2=4m+2n+3 independent equations can be obtained based on the above derivations. These equations can be expressed in matrix form as follows:



$$\begin{bmatrix} a_{(1)(1)} & a_{(1)(2)} & \cdots & a_{(1)(4m+2n+4)} \\ a_{(2)(1)} & a_{(2)(2)} & \cdots & a_{(2)(4m+2n+4)} \\ \vdots & \vdots & \cdots & \vdots \\ a_{(4m+2n+3)(1)} & a_{(4m+2n+3)(2)} & a_{(4m+2n+3)(4m+2n+4)} \end{bmatrix} \begin{bmatrix} a_{S} \\ U_{1} \\ \vdots \\ U_{2m+n+1} \\ I_{S} \\ I_{1} \\ \vdots \\ I_{2m+n+1} \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 0 \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

$$(6)$$

Supposing the coefficient matrix of Eq. (6) is A, we can know that all elements in A are only related to the network topology and component parameters. Because the 4m + 2n + 3 equations are independent, the rank of coefficient matrix A is

$$R(A) = 4m + 2n + 3 \tag{7}$$

As mentioned, there are 4m + 2n + 4 unknown variables for the entire network, whereas there are 4m + 2n + 3 independent equations in the homogeneous linear equations. Therefore, the ratio of any two unknown variables can be calculated easily by solving Eq. (6). Thus, the voltage transmission characteristics between the signal transmitter and the receiver are:

$$H_i(f) = \frac{U_{Zi}}{U_S} \tag{8}$$

where U_{Zi} is the voltage of the information node related to $Load_{\underline{i}}$.

By solving Eq. (6), the theoretical expression for the voltage transmission characteristic between the signal transmitter and the receiver can be easily calculated using a conventional software such as MATLAB. Then, the key factors that affect the channel transmission characteristic and the influence law can be conveniently analyzed. This method is applicable to a network with arbitrary topology.

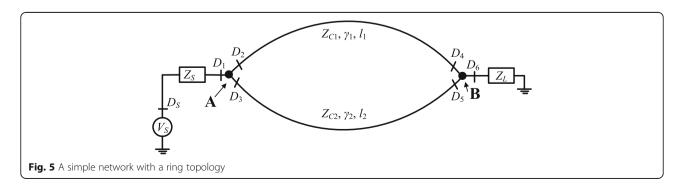
There are few ring topologies and no mesh topology in smart grids till now. However, in IEEE1547, part 4.1.4 "Distributed resources on distribution secondary grid and spot networks" proposes ring topologies and mesh topologies in distribution networks in the future with distributed generation accessed. In this situation, the proposed method will be able to solve the problems that there is no effective channel modeling method for the application of PLC in a network with ring and mesh topologies.

3 Channel modeling and laboratory test of a simple ring topology (Results and discussion 1)

A simple network with a ring topology in Fig. 5 is considered the example to illustrate the application of the modeling method in Section II. There are seven information nodes in Fig. 5, i.e., D_1 to D_6 and D_S .

Each information node has two unknown quantities. Thus, there are 14 unknown variables for the entire network of 13 independent equations, which can be expressed as:

where $a_i = d_i = ch(r_i l_i)$, $b_i = Z_C sh(r_i l_i)$, and $c_i = sh(r_i l_i)/Z_C$, (i = 1,2); Z_S is the source impedance, and Z_L is the load impedance.



Thus, the voltage transmission characteristic between the signal transmitter and a given receiver can be easily obtained. For example, the voltage transmission function between D_S and D_6 in Fig. 5 is:

$$H(f) = \frac{U_6}{U_S} = \frac{Z_L(a_1b_2d_1 - b_1b_2c_1 + a_2b_1d_2 - b_1b_2c_2)}{(b_1b_2 + (a_1b_2 + a_2b_1)Z_S + (b_1d_2 + b_2d_1)Z_L - (a_1d_1 - b_1c_2 - a_1d_2 - a_2d_1 - b_1c_2 - b_2c_1 + a_2d_2 - b_2c_2)Z_LZ_S)}$$

$$(10)$$

A physical network with the topology in Fig. 5 was established for the laboratory test, RG316-type coaxial cables and a HP8753D network analyzer. The parameters of the coaxial cable are C_0 = 96 pF/m, L_0 = 276 nH/m, R_0 = 1 m Ω /m, G_0 is neglected, and the lengths of l_1 and l_2 are set to 10 m. The cables and interfaces and part of the physical configuration of the circuit are shown in Figs. 6 and 7, respectively. Figure 8 illustrates an actual waveform from the network analyzer.

The HP8753D network analyzer can easily determine the voltage transfer characteristic of networks with different topologies. The resulting curve is shown on its screen, as shown in Fig. 8, and the data can be easily stored and read using a floppy disk.

Figures 9 and 10 show the amplitude- and phase-frequency response characteristics of the voltage transfer function Eq. (10). The actual cable length and the length used in the simulation are not exactly identical, and the neglected cable connection resistance in simulation contributes to the major mismatch in these two figures.

4 Simulation and laboratory test results for a network with a ring topology (Results and discussion 2)

A network with a ring topology (Fig. 11) is used to analyze all factors that may affect the amplitude-frequency characteristic of the network. In this network, all power lines are of identical material with the following parameters: C_0 =

96 pF/m, L_0 = 276 nH/m, R_0 = 1 m Ω /m; and G_0 is neglected. Both source internal impedance Z_S and load impedance Z_L are 50 Ω ; both branch loads Z_{L1} and Z_{L2} are 0 Ω , i.e., both are short-circuited. In the following, the simulation results of the amplitude-frequency characteristic are obtained using the proposed method, whereas the laboratory test results are measured using the HP8753D network analyzer on a real network.

4.1 Changes in trunk length

First, the effect of the trunk length on the amplitude-frequency transmission characteristics is considered. The trunk lengths (i.e., l_1 , l_2 , l_3 , and l_4 in Fig. 11) are identical, and simulations and tests are performed with lengths of 10, 20, 30, and 40 m, whereas the lengths of the branch lines (i.e., l_5 and l_6 in Fig. 11) are held constant at 10 m.

Figure 12 presents the amplitude-frequency characteristics of the voltage transfer function between load Z_L and signal source V_S .

Figure 12 illustrates that the peaks in the amplitude-frequency characteristics fluctuate when the trunk length increases, whereas the locations and amplitudes of the notches do not change. The notches occur at the frequencies of 10, 20, and 30 MHz.

4.2 Changes in branch length

Next, the effect of the branch length on the amplitude-frequency transmission characteristic is simulated and tested. In Fig. 11, we maintained a constant trunk length of $l_1 = l_2 = l_3 = l_4 = 10\,$ m, whereas the branch lengths l_5 and l_6 were changed to 10 m, 20 m, 30 m, and 40 m, respectively.

Figure 13 presents the amplitude-frequency characteristic of the voltage transfer function between load Z_L and signal source V_S . We can learn that the numbers of peaks and notches in the amplitude-frequency characteristic curve increase when the branch length increases



Fig. 6 The elements used



Fig. 7 A part of the lab test circuit

(the numbers of notches in Figs. 13a, b, c, and d are 3, 6, 9, and 12, respectively).

4.3 Changes in the number of branches

Next, we consider a network with the ring topology in Fig. 14. Length l of all lines between adjacent nodes is 10 m; thus, when the number of branches is 2, 4, 6, or 8, the length of ADEB and AFHB is 20, 30, 40, or 50 m, respectively. All branch loads (i.e., Z_{L11} , Z_{L12} , ..., Z_{Ln1} , Z_{Ln2}) are open circuit, and the other parameters are identical to those in Fig. 11.

Figure 15 shows the amplitude-frequency characteristic of the voltage transfer function between load Z_L and signal source V_S . The peaks of the amplitude-frequency characteristic slightly fluctuate when the branch number increases. There are only 3 notches for various numbers of branches, but the amplitude of the notches increases with an increasing number of branches.

5 Simulation and laboratory test results for a network with a mesh topology (Results and discussion 3)

A network with a mesh topology (Fig. 14) is used to analyze all factors that may affect the amplitude-frequency

characteristic of the network. In Fig. 14, all power lines are of identical material, and the following parameters are used: C_0 = 96 pF/m, L_0 = 276 nH/m, R_0 = 1 m Ω /m, and G_0 is neglected. Source internal impedance Z_S and load impedance Z_L are 50 Ω , and branch loads Z_{L1} and Z_{L2} are 0 Ω . In the following, simulation results of the amplitude frequency characteristics are obtained using the proposed method, and the laboratory test results are measured using a network analyzer on a real network with the topology in Fig. 16.

5.1 Changes in front and rear trunk length

First, we maintain a constant trunk length of $l_5 = l_6 = l_7 = l_8 = 10$ m, a constant branch length of $l_2 = l_4 = 10$ m, and a constant link branch length of $l_9 = 20$ m. We change the front and rear trunk lengths (l_1 and l_3 , respectively) to 10, 20, 30, and 40 m.

Figure 17 shows the amplitude-frequency characteristic of the voltage transfer function between load Z_L and signal source V_S . The amplitude-frequency characteristics vary only slightly for different front and rear trunk lengths; thus, this factor is not considered in the following analysis.

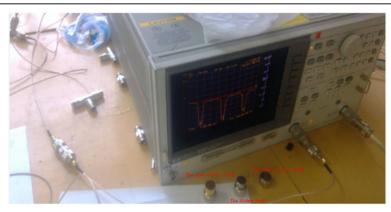
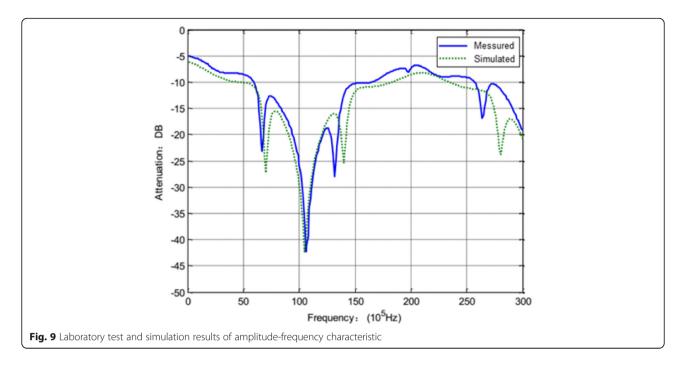


Fig. 8 The HP8753D network analyzer



5.2 Changes in branch length

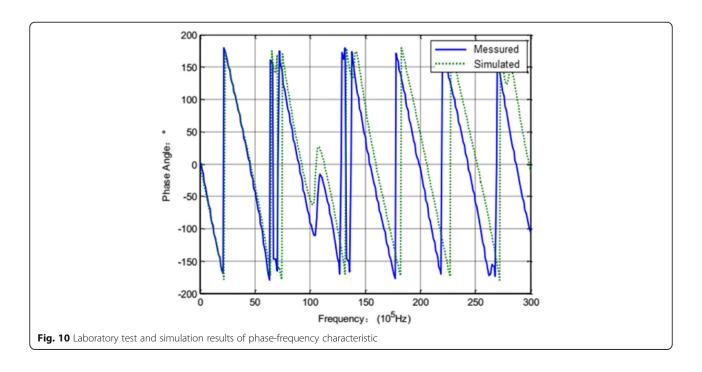
In Fig. 16, we maintain a constant front and rear trunk length of $l_1 = l_3 = 10$ m, a trunk length of $l_5 = l_6 = l_7 = l_8 = 5$ m, and a link branch length of $l_9 = 10$ m; we change the branch lengths l_2 and l_4 to 5, 10, 15, and 20 m.

Figure 18 shows the amplitude-frequency characteristic of the voltage transfer function between load Z_L and signal source V_S .

The branch length significantly affects the amplitude-frequency characteristics; specifically, additional notches appear when the branch length is increased.

5.3 Changes in trunk length

In Fig. 16, we maintain constant front and rear trunk lengths of $l_1 = l_3 = 10$ m, a branch length of $l_2 = l_4 = 5$ m, and a link branch length of $l_9 = 10$ m. We vary the trunk lengths l_5 , l_6 , l_7 , and l_8 to 5, 10, 15, and 20 m.



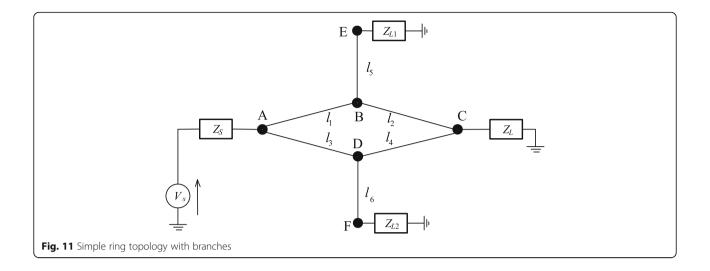
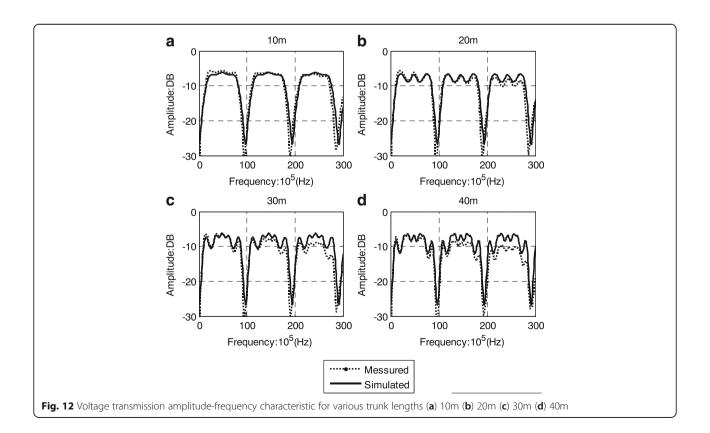


Figure 19 presents the amplitude-frequency characteristics of the voltage transfer function between load Z_L and signal source V_S .

The trunk length has a complex effect on the transmission characteristics. As the trunk length increases, the number of notches change to different numbers in an alternating manner, e.g., 2 notches are present in Fig. 19a and c, whereas 4 notches are present in Fig. 19b and d.

5.4 Proportional changes in the mesh length

In Fig. 16, we maintain constant front and rear trunk lengths of $l_1 = l_3 = 10$ m, whereas the trunk length $l_5 = l_6 = l_7 = l_8$ is varied to 5, 10, 15, and 20 m, the branch length $l_2 = l_4$ is proportionally changed to 5, 10, 15, and 20 m, and the link branch length l_9 is proportionally changed to 10, 20, 30, and 40 m.



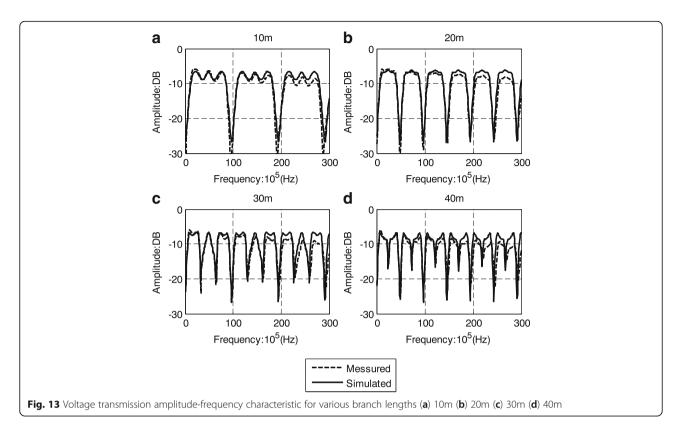


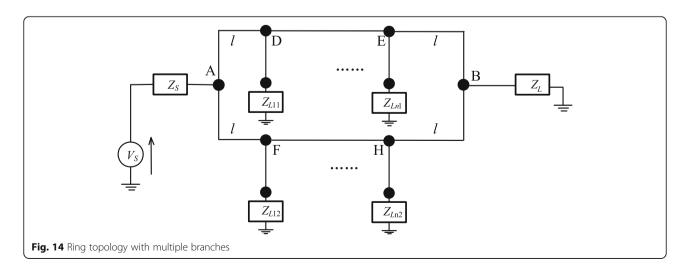
Figure 20 shows the amplitude-frequency characteristics of the voltage transfer function between load Z_L and signal source V_S .

The numbers of peaks and notches of the amplitude-frequency characteristics increase with the increase in line length of the mesh topology: the number of notches in Figs. 20a, b, c and d is 2, 4, 6, and 8, respectively.

If the frequency characteristics of the elements in the net can be accurately obtained, the proposed method can be used in a notably wide frequency range. Considering the current accuracy of the frequency characteristics, the requirements of high-speed communication in the PLC in future, and the restrictions of the frequency in simulation and lab test, the proposed method can be used in the range of 1--40~MHz.

6 Conclusions

A novel channel modeling method based on the information node that is universally applicable to various topologies that may emerge in smart distribution grids in the future is present in this paper. The applications of this method in a simple ring network and a complex



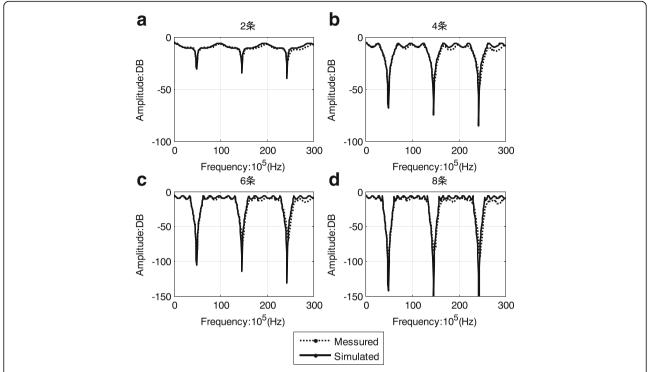
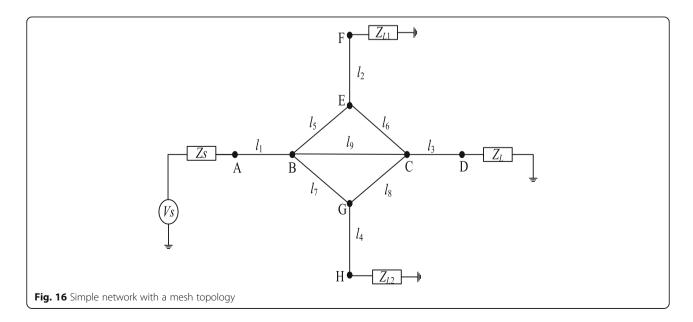
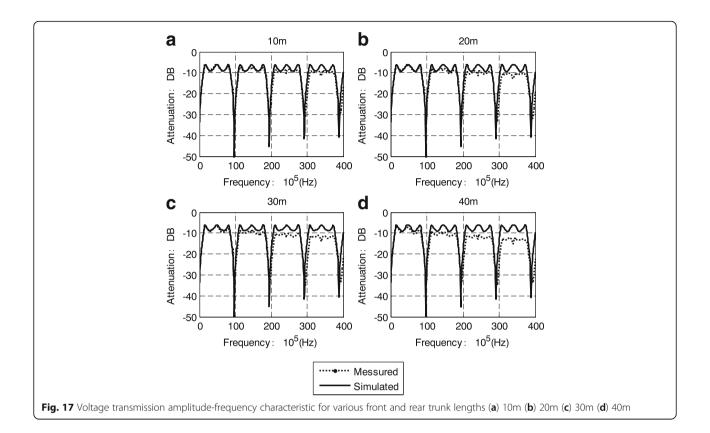


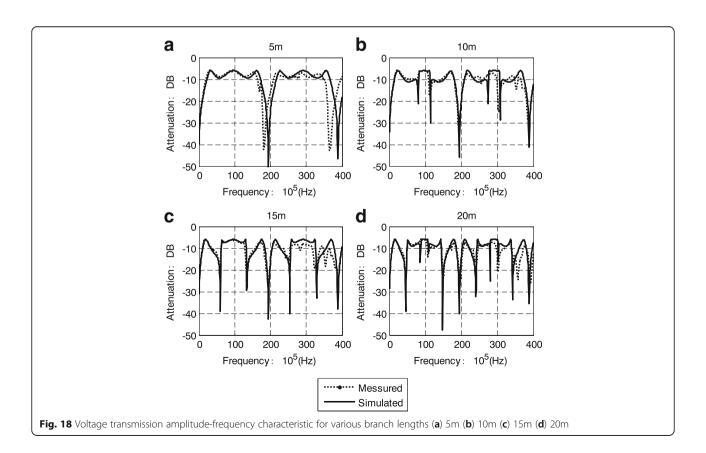
Fig. 15 Voltage transmission amplitude-frequency characteristic for various numbers of branches (a) 2 branches (b) 4 branches (c) 6 branches (d) 8 branches

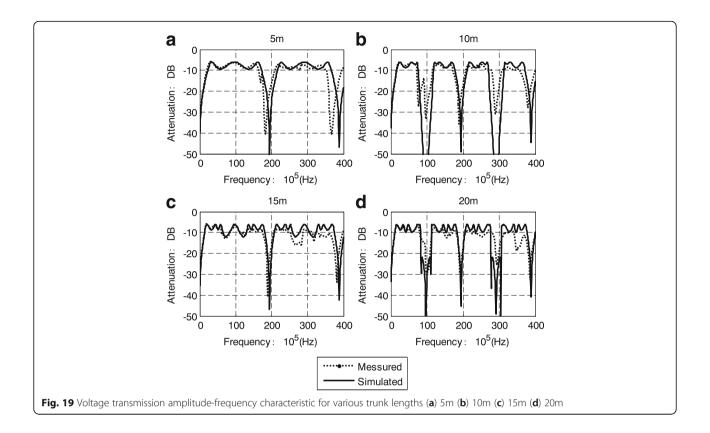
mesh network show that the proposed method has significant advantages over the traditional modeling method, such as clear physical concepts, simple calculations, and ease of implementation with conventional computational software. Based on the proposed method, several meaningful conclusions regarding the influence laws for the channel characteristics of

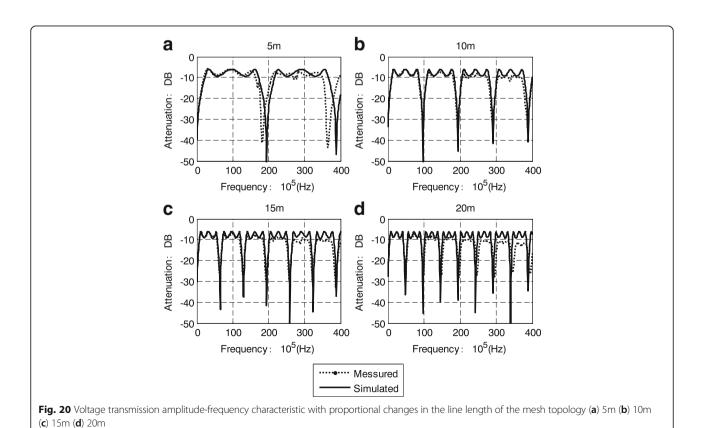
network with ring or mesh topology can be easily drawn, such as that the trunk length has little effect on the transfer characteristic, while the proposed modeling method and the analysis results provide the necessary theoretical basis and technical measure for the design of PLC modulation method for a smart distribution grids.











Authors' contributions

JL carried out the studies about the PLC channel modeling theory, participated in the sequence alignment and drafted the manuscript. CW carried out the simulations of the proposed modeling method. WZ and Y-YL carried out the experimental tests and performed the statistical analysis of the results. WC built the theory model of the PLC channel modeling method. All authors read and approved the final manuscript.

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Competing interests

There is no conflict of interest in the submission of this manuscript, and the manuscript is approved by all authors for publication.

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